



For Immediate Release

Docupace and OS33 Announce Partnership to Deliver Cybersecurity, Compliance and Productivity Solutions

Announced at the 2019 FINRA Annual Conference, Workplace Frontline and Stronghold become Docupace's security and compliance enablement platform for Docupace clients, enabling broker-dealer and insurance companies to access advanced cybersecurity and compliance software from both industry leaders.

WASHINGTON, DC, MAY 15, 2019 – [Docupace](#) and [Workplace by OS33](#) announced their strategic partnership today at the 2019 FINRA Annual Conference which will combine OS33's Workplace Frontline and Stronghold cybersecurity and compliance software with Docupace's data-driven digital operations platform to enable broker-dealers and insurance partners to purchase a unified solution from either company.

Docupace customers seeking to meet FINRA's 2018 Guidance, are now able to purchase Workplace Frontline, endpoint device compliance, and Workplace Stronghold, the turnkey digital platform for compliance and cybersecurity, from Docupace. OS33's clients will be able to purchase Docupace's SEC and FINRA compliant workflow solutions in combination with its document management, client onboarding, and advisor transitions solutions from OS33. Docupace clients, who are qualified FSI member firms, will also be able to take advantage of OS33's FSI Ambassador Sponsorship Privilege enabling access to Workplace Frontline without charge, nor obligation for the remainder of 2019.

OS33 President, Morley Ivers, explained what this strategic agreement means for the company, "Workplace Frontline is a cornerstone of our cybersecurity and compliance offering for broker-dealers and insurance companies that leverage independent reps. With Frontline every device that attempts to access a firm's private data will be inventoried and secured before access is granted. Additionally, Workplace Stronghold is a turnkey digital workplace that establishes a secure perimeter around a firm's business applications, files and devices. We are extremely excited to be Docupace's cybersecurity offering and to be representing Docupace's Platform."

Due to an escalation of data breaches and cyber-attacks, regulators including FINRA and NYDFS have tightened cybersecurity regulations for the financial services industry. Workplace by OS33 and Docupace will seamlessly integrate Docupace's Platform with OS33's Workplace Frontline and Stronghold products to create the premier Cybersecurity and Compliance Offering in the marketplace.

Mark Tapling, CEO of Docupace commented; "Broker-dealers and large RIAs face the challenge of securing digital processing and the advisors' endpoint devices. They are responsible for the security of the entry points and workflow, but often do not own or control the devices. Working with OS33, not only can we offer the best-in-class endpoint device protection with Frontline, but also a completely secure digital work environment. Together, this partnership offers the industry's most secure and complete digital operations platform from desktop through clearing and storage."

Attendees at the 2019 FINRA Annual Conference can visit the companies' respective booths to learn about the joint offering.



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About Workplace by OS33

Workplace by OS33 is the leading SaaS platform enabling turnkey compliance, security and productivity for broker dealers, insurance companies and RIAs who leverage independent advisors. The New York-based firm has been in business for 17 years, is FSI's Ambassador Sponsor, and is listed in the FINRA Compliance Vendor Directory for Cybersecurity. For additional information, visit www.os33.com.

About Docupace

Founded in 2002 with headquarters in Los Angeles, Docupace is a data-driven digital operations platform designed specifically for the wealth management community, including broker-dealers, RIAs, and insurance organizations. As a digital front-to-back office platform, Docupace offers a suite of tools delivered in a modular fashion that enables customers to choose the capabilities that deliver the most value to a customer's operation. Docupace SEC and FINRA compliant workflow solutions in combination with its document management, client onboarding, advisor transitions, and cybersecurity solutions enable a broad set of capabilities to enhance profits for their customers. For additional information, visit www.docupace.com.

MEDIA CONTACT:

FiComm Partners
Jessica Torchia
Jessica.Torchia@FiCommPartners.com
908-872-7319

Workplace by OS33
John DeVincent
jdevincent@os33.com
732-387-5029